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ATTORNEY DOCKET NO. 403329/SAKAI

CLIENT REF. NO. PMAA-03045-US

U.S. APPLICATION NO. **10/525066**

**TRANSMITTAL LETTER TO THE UNITED STATES  
 DESIGNATED/ELECTED OFFICE (DO/EO/US)  
 CONCERNING A FILING UNDER 35 USC 371 AND 37 CFR 1.491**

INTERNATIONAL APPLICATION NO.  
 PCT/JP03/10936

INTERNATIONAL FILING DATE  
 August 28, 2003

PRIORITY DATE CLAIMED

TITLE OF INVENTION CONTROL APPARATUS FOR ROTATING MACHINE

APPLICANT(S) FOR DO/EO/US KINPARA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.
3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 USC 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
11. Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper Copy
  - c. ☐ Statement verifying identity of above copies

**Items 12 to 19 below concern other document(s) or information included:**

12. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  - ☒ Form PTO-1449
  - ☒ Copies of References (except for U.S. patents and applications)
13. ☒ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.
  - ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Application Data Sheet Under 37 CFR 1.76
18. ☒ Return Receipt Postcard
19. ☒ Other items or information: International Search Report (PCT/ISA/210)

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20. The following fees are submitted:						CALCULATIONS	PTO USE ONLY
<input checked="" type="checkbox"/> <b>National Stage Filing Fee</b>						\$300.00	
<b>Search Fee</b>						\$400.00	
<input type="checkbox"/> USPTO was ISA		\$100.00					
<input checked="" type="checkbox"/> Search report provided to USPTO by other entity		\$400.00					
<input type="checkbox"/> All other situations		\$500.00					
<b>Examination Fee</b>						\$200.00	
<input type="checkbox"/> US was IPEA and all claims satisfy PCT Article 33(1)-(4)		\$100.00					
<input checked="" type="checkbox"/> All other situations		\$200.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT=</b>						\$900.00	
<input type="checkbox"/> <b>Claim Fee</b>							
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total Claims	3	-20=	x \$ 50.00			\$	
Independent Claims	1	- 3 =	x \$200.00			\$	
<input type="checkbox"/> <b>Multiple Dependent Claim(s) (if applicable)</b>			+\$360.00			\$	
<input type="checkbox"/> <b>Application Size Fee</b> (\$250 for each additional 50 sheets over 100)						\$	
<input type="checkbox"/> <b>Surcharge</b> of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date.						\$	
<b>TOTAL OF ABOVE CALCULATIONS=</b>						\$900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						\$( )	
<b>SUBTOTAL=</b>						\$	
<input type="checkbox"/> Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date.						\$	
<b>TOTAL NATIONAL FEE=</b>						\$900.00	
<input checked="" type="checkbox"/> Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property.						+	\$40.00
<b>TOTAL FEE=</b>						\$940.00	
						Amount to be: refunded	\$
						charged:	\$ 940.00
<p>a. <input type="checkbox"/> No fee is believed to be due.</p> <p>b. <input checked="" type="checkbox"/> Please charge Deposit Account No. 12-1216 the total fee indicated above.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.</p>							
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>							
<p>SEND ALL CORRESPONDENCE TO CUSTOMER NO. 23548:</p> <p>23548</p>				<p>Jeffrey A. Wyand, Reg. No. 29,458  LEXDIG, VOIT &amp; MAYER  700 Thirteenth Street, N.W., Suite 300  Washington, DC 20005-3960  (202) 737-6770 (telephone)  (202) 737-6776 (facsimile)</p>			
				<p>Date: February 18, 2005</p>			